

ISO 22301 Certification Roadmap

A practical, audit-ready roadmap designed for financial institutions and fintechs.

Why ISO 22301 Matters

ISO 22301 certification demonstrates resilience, builds client and regulator trust, and ensures your organization can maintain operations during disruptions. It is a key requirement for regulated institutions and critical third-party providers.

Full Certification Timeline (6-12 months)

This roadmap outlines a structured approach to move from initial readiness to successful certification while minimizing disruption to business operations.

Phase 1 – Readiness Assessment (2-3 weeks)

Assess current capabilities against ISO 22301. Identify gaps in governance, documentation, BIA, and risk management. Deliver a prioritized remediation roadmap.

=> If you cannot clearly map critical services and dependencies, you are not ready for certification.

Phase 2 – BCMS Design & Build (10-12 weeks)

Establish BCMS governance, scope, policies, and procedures. Perform Business Impact Analysis (BIA) and risk assessments. Develop Business Continuity and Crisis Management Plans aligned with operations.

=> If your recovery strategies are not tied to BIA outputs, auditors will challenge them.

Phase 3 – Training & Awareness (2-4 weeks)

Deliver executive, practitioner, and staff training. Ensure roles and responsibilities are understood. Document participation and awareness.

=> If staff cannot explain their role during a disruption, the program is not effective.

Phase 4 – Testing & Evidence (4 weeks)

Conduct tabletop exercises and simulations. Capture evidence, observations, and improvement actions.

=> If exercises are not documented with clear results, this will be a major audit finding.



Phase 5 – Internal Audit & Management Review (4–6 weeks)

Conduct internal audit to validate compliance and identify gaps. Perform management review to confirm oversight and readiness.

=> If executive oversight is not documented, certification risk is high.

Phase 6 – Certification Audit (12–20 weeks)

Engage certification body. Stage 1 validates documentation. Stage 2 validates execution. Address non-conformities to achieve certification.

=> If evidence does not align with documentation, audits will fail.

Common Certification Failures

- Lack of documented evidence
- Weak governance
- Untested plans
- Poorly defined responsibilities
- Incomplete management review

How Maxvia Helps

Maxvia delivers structured, ISO-aligned, audit-ready BCM programs. Our fixed-scope approach ensures clarity, speed, and predictable outcomes without big-firm overhead.

Next Step

Book a Certification Advisory Call to assess your readiness and accelerate your path to ISO 22301 certification.